



# **THE MOLDOVA ROAD PROJECT V**

**(DTM 45094)**

## **THE TECHNICAL DESIGN STAGE**

**DRAFT**

**The Project's Environmental and Social Management System specific  
for rehabilitation process of the express road M1 located in the  
Hincesti and Nisporeni Districts**

**Lot no.2 (km 9+208 – km 38+800)**

## **REQUIREMENTS**

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## **Executive Summary**

The Employer, JSC National Road Administration, signed the contract agreement for Lot 2 with the general designer Intexnauca SRL (the consultant), for the development of the technical design for the section of the M1 road between the Romanian and Ukrainian borders (km 1.00 to 85.46) within Lot 2 (km 9+208 to km 38+800). During the design process, the Consultant will also identify specific hazards and assess risks, establishing mitigation measures to control them.

This document provides procedure for controlling risks assessed based on the EBRD's Performance Requirements No. 1 Assessment and Management of Environmental and Social Risks and Impacts and the applicable Moldovan technical laws relating to the design process. The document also established and described the institutional roles and responsibilities, and commitment to comply with requirements established in the Environmental and Social Action Plan, monitor and measure mitigation measures and report performances to the EBRD and Government of the Republic of Moldova.

The Project's specific ESMS and ES Plans for Lot No. 2 will form part of the tender documents. The document describes the mitigation measures that the Contractor will implement to manage residual environmental and social risks during construction. The Supervision Consultant (Engineer) will monitor compliance with this document's requirements to ensure that the Project meets national and EBRD standards.

In order to ensure that residual risks are kept under control, the General Contractor will use the mitigation measures identified in this document to prepare its own environmental and social management plan (CESMP). This plan will then be integrated into the detailed on-site plan of works. This will allow the contractor to calculate the costs of the environmental protection and social impact mitigation measures that will be implemented during the project's construction phase.

Furthermore, this document establishes environmental and social requirements for the Employer (the National Road Administration) during the operational and decommissioning phases. The objective is to emphasise economic growth, inclusion and sustainability. This is accomplished by implementing best international industrial practices and incorporating robust development plans to enhance environmental quality, community health and safety.

The Employer's proposed capacity-building measures will be considered during the construction stage by the General Contractor, who will select and hire 10 young people to be trained on site. The aim of this initiative is to enhance economic inclusion and contribute to the high-quality maintenance of roads. The project will also ensure continuous improvement in road quality and safety, contributing to an improved level of traffic safety, as well as an overall improvement in environmental and social conditions.

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## 1 SCOPE

This document specifies the Environmental and Social Management System (ESMS) procedures to be applied by the Employer, JSC National Road Administration, in the implementation process of the Moldova Road Project V. The ESMS is a systematic management tool that contributes to the environmental and social pillars of sustainability.

The scope of the ESMS is to help involved parties in the implementation process achieve the Project's ESMS outcomes, providing value for environmental and social value, the Project context and the Employer and stakeholders.

Consistent with the Project's Environmental and Social Management Policy for the Moldova Road Project V, the ESMS outcomes include the following: (i) enhancement of the ES performances, (ii) fulfilment of compliance obligations and (iii) achievement of ES Objectives.

## 2 NORMATIVE REFERENCES

The present document is developed based on following documents:

- The Planning Town Urbanism Certificate<sup>1</sup> for Design no. 1 of February 14<sup>th</sup> 2025 issued by the Hincesti District Council
- The Planning Town Urbanism Certificate<sup>2</sup> for Design no. 1 of August 13<sup>th</sup> 2024 issued by the Nisporeni District Council
- The Environmental Permit<sup>3</sup> no. 24 of August 12<sup>th</sup> 2025 issued by the Environmental Agency for the Moldova Road Project V
- Notice issued by the Ministry of Culture for the Moldova Road Project V
- Notice issued by the National Archaeological Agency for the Moldova Road Project V
- The Technical Design no. and the verification Report of the Technical Design
- ESAP of the Moldova Road Project V
- Stakeholder Engagement Plan
- Applicable laws of the Republic of Moldova

## 3.0 TERMS, DEFINITIONS AND ACRONYMS

### 3.1 Terms and definitions

Used terms and their definitions are in conformity with normative references. For better understanding the plan the following terms are used:

**Audit:** systematic, independent and documented process for obtaining audit evidence and evaluating it objectively to determine the extent to which the audit criteria are fulfilled.

<sup>1</sup> Source: <https://hincesti.md/category/subdiviziunile/sectia-constructii-gospodarie-comunala-si-drumuri/>

<sup>2</sup> Source: <https://hincesti.md/category/subdiviziunile/sectia-constructii-gospodarie-comunala-si-drumuri/>

<sup>3</sup> Source: <https://www.andsa.md/acorduri-de-mediu/>

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**Capacity of response:** ensuring endowment with means of interventions, means of protections and human resources for intervening immediately and efficient when appears an emergency situation.

**Cause:** anything with the potential to release a hazard. Cause classes include (but not limited to): thermal energy, chemical energy, biological energy, radiation, kinetic energy, climatic condition, uncertainty or human factors.

**Competence:** ability to apply knowledge and skills to achieve intended results.

**Conformity:** fulfilment of a requirement.

**Continuous Improvement:** repeated activity to increase the ability to comply with requirements.

**Contractor:** the person(s) named as contractor in the Letter of Tender accepted by the Employer and the legal successors in title to this person(s).

**Corrective Action:** action taken to eliminate the cause of a Non-conformity detected or any other unwanted situation.

**Danger Identification:** the process of danger identification and definition of its characteristics.

**Danger:** an event or situation with a harmful potential expressed in injury, illness, damage to property, pollution at the workplace or a combination of these.

**Direct workers:** represent Contractor's workers.

**Emergency situation:** an event or an incident which does not generate major effects on HSE, but has the potential to provoke a major accident which requires a quick intervention of a specially trained team

**Employer:** the person named as employer and the legal successors in title to this person.

**Engineer:** the person appointed by the Employer to act as the Engineer for the purposes of the Contract or other person appointed from time to time by the Employer and notified to the Contractor.

**Environmental protection:** measures used to prevent harm to the environment of the world. It prevents harm to air, water, land and natural resources providing protection to flora, fauna and human beings and their inter-relationships.

**Engineering Control:** represent a process used to protect employees by preventing exposure to hazards.

**Health:** the state of well-being in both a physiological and psychological sense.

**Health and Safety:** conditions and factors that have an impact on the health of employees, temporarily engaged personnel, subcontracted personnel, visitors or any other person being at the workplace.

**Hazard:** source with a potential to cause injury and ill health. Hazards can include sources with the potential to cause harm or hazardous situations, or circumstances with the potential for exposure leading to injury and ill health.

**Incident:** event, or chain of event, which cause, or could have caused, injury, illness and/or damage (loss) to assets, the environment or third parties.

**Indirect workers (contracted):** workers who work on behalf of Contractor.

**Laws:** all national (or state) legislation, statutes, ordinance and other laws, and regulations and by-laws of any legally constituted public authority.

**Monitoring:** determining the status of a system, a process or an activity. To determine the status, there may be a need to check, supervise or critically observe.

**Measurement:** process to determine a value.

**Non-conformity:** non-compliance with a requirement.

|                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                          |
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**Objectives:** purposes (targets) relative to the OHS performance, intended to be attained by an organization.

**Occupational:** it would include not suffering from fatigue, stress or noise induced deafness.

**Operational Control:** are those operations that are associated with the identified major hazards consistent with OHS policy, objectives and targets.

**Outsource:** make an arrangement where an external organization performs part of an organization's function or process.

**Performance:** measurable results.

**Policy:** short statement of the contractor/company policy that conveys managements support and intentions of the specific program that is signed by a member of senior management.

**Preventive Action:** action taken to eliminate the cause of a potential Non-conformity or any other potential unwanted situation.

**Procedure:** specified way to carry out an activity or a process.

**Process:** set of interrelated or interacting activities which transforms inputs into outputs.

**Risk Assessment:** process of general risk impact assessment and decision as to the level of risk tolerance.

**Risk:** combination of probability of appearance and consequences of a certain dangerous event.

**Safety:** the absence of danger or physical harm to persons, extending in the workplace to things such as equipment, materials and structures.

**Site:** location with defined geographical boundaries and on which activities under the control of an organisation may be carried out.

**Subcontractor:** any person named in the Contract as a subcontractor, or any person appointed as a subcontractor, for a part of the Works, and the legal successors in title to each of these persons.

**Construction sites:** any construction site at which building or civil engineering works are carried out.

**Welfare:** the provision of workplace facilities that maintain the basic wellbeing and comfort of the worker such as eating, washing and toilet facilities which enable them to fulfil their bodily functions.

**Working environment:** the surroundings and conditions in which work is performed.

### 3.2 Acronyms

|         |                                                          |
|---------|----------------------------------------------------------|
| CESMP   | Contractor's Environmental and Social Management Plan    |
| CL&OHSP | Contractor's Labor & Occupational Health and Safety Plan |
| CoC     | Code of Conduct                                          |
| DD      | Detail design                                            |
| EA      | Environmental Agency                                     |
| EHS     | Environmental and health and safety                      |
| EIA     | Environmental impact assessment                          |
| ESP     | Environmental and Social Policy                          |
| ESRs    | Environmental and Social Requirements                    |
| GD      | Government Decision                                      |
| HR      | Human Resources                                          |
| KPIs    | Key performance indicators                               |
| LPA     | Local public authority                                   |



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|      |                                         |
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| MS   | Method Statement                        |
| NAPH | National Agency for Public Health       |
| NGOs | Non-Governmental Organisations          |
| OHS  | Occupational health and safety          |
| PIU  | Project Implementation Unit             |
| PMU  | Project Management Unit                 |
| POM  | Project Operational Manual              |
| PPE  | Personal protective equipment           |
| PTW  | Permit-to-Work                          |
| SMR  | Subcontractor management representative |
| SOPs | Safety Operational Procedures           |
| SSA  | State supervision agency                |
| TLI  | Territorial labour inspection           |
| TMP  | Traffic management plan                 |
| TS   | Technical specification                 |



|                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                          |
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## 4 CONTEXTS OF THE PROJECT

### 4.1 The Employer JSC National Road Administration

#### 4.1.1 General

On January 22<sup>nd</sup> 2024, the European Bank for Reconstruction and Development (EBRD)<sup>4</sup> and the Government of the Republic of Moldova<sup>5</sup> (the Borrower) signed the Loan Agreement for the Moldova Roads Rehabilitation Project V. The Borrower is the Republic of Moldova and is represented by the Ministry of Finance.

The facility will consist of a sovereign loan to the Borrower in the amount of up to EUR 150 million, tranché. The loan will finance the rehabilitation and widening of the M2 Chisinau ring road (section 2) and the purchase of specialized equipment and software for the Road Asset Management System (Tranche 1) and the rehabilitation of the M1 Chisinau to Leuseni road section (Tranche 2) (together the “Project”).

The Project is of a strategic priority for the Republic of Moldova and will support the Government's efforts to halt the deterioration of the road network and ensure key road links are maintained to acceptable standards to facilitate economic growth, regional integration and connectivity by strengthening core transport links with a focus on extended TEN-T network.

The Project is aligned with the European Union's Economic and Investment Plan for Neighbourhood East and its post-2020 Eastern Partnership Priorities, is included in the Eastern Partnership TEN-T Investment Action Plan, and is consistent with the Solidarity Lanes approach by focussing on core corridors to ensure transportation of goods between Ukraine and the European Union.

The Borrower shall carry out the Project in accordance with the Project Implementation Plan furnished by the Borrower to EBRD (subject to any modifications to which EBRD may agree in writing) and cause the financing specified in the Financing Plan to be applied exclusively to the Project. The proceeds of the Loan shall be applied exclusively to the components of the Project to be financed (or co-financed as the case may be) by EBRD in accordance with the Procurement Plan.

#### 4.1.2 Project Development Objective

The Project will facilitate Moldova's economic development and its physical integration by improving transit connections and corridors between its cities and between Moldova and neighbouring countries, including Ukraine and Romania. The Project will also improve the road infrastructure between cities and on key corridors/regional connections through the rehabilitation of the M2 Chisinau ring road (section 2) and the M1 Chisinau to Leuseni road section, which are in poor condition.

<sup>4</sup> Source: <https://www.ebrd.com/home/work-with-us/projects/psd/54423.html>

<sup>5</sup> Source: <https://gov.md/index.php/en>

|                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                          |
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The purpose of the Project is to assist the Borrower in financing the rehabilitation and widening of the M2 Chisinau ring road-section 2, the rehabilitation of the M1 Chisinau to Leuseni road section and extension, and upgrade of the road asset management system.

#### 4.1.3 Project Beneficiaries

The Project beneficiaries are:

- The Government of the Republic of Moldova<sup>6</sup>;
- The Ministry of Finance<sup>7</sup>
- The Public Property Agency<sup>8</sup>;
- The Ministry of Infrastructure and Regional Development<sup>9</sup>;
- The Hincesti District<sup>10</sup> Council
- The Nisporeni District Council<sup>11</sup>
- The community from the Republic of Moldova;
- The community from Romania and Ukraine and other countries.

#### 4.1.4 Project components

The Project consists of the following Parts:

##### **Part A:**

- (i) Civil works: rehabilitation and widening of the M2 Chisinau ring road-section 2, comprising of approximately 7 km of road;
- (ii) Supervision of civil works for rehabilitation and construction of the above road section to be provided by the Supervision Consultant;
- (iii) Extension and upgrade, of the road asset management system; and
- (iv) Contingencies to finance any cost overruns in relation to Part A.

##### **Part B:**

- (i) Civil work: rehabilitation, of the M1 Chisinau to Leuseni road section, comprising of approximately 71 km of road;
- (ii) Supervision of civil works for rehabilitation and construction of the above road section to be provided by the Supervision Consultant; and
- (iii) Contingencies to finance any cost overruns in relation to Part B.

#### 4.1.5 The Technical Designer

The Employer (Beneficiary SA National Road Administration) signed a Service Contract with the Consultant (Technical Designer) Intexnauca SRL for developing the Technical Design for the sector of the road M1, border with Romania - Leuşeni- Chisinau - Dubasari - border with Ukraine (Lot 2 - km 9+208 - km 38+800)

<sup>6</sup> Source: <https://gov.md/ro/autoritati-administrative-centrale>

<sup>7</sup> Source: <https://mf.gov.md/ro>

<sup>8</sup> Source: <https://app.gov.md/>

<sup>9</sup> Source: <https://midr.gov.md/portofoliu/transport>

<sup>10</sup> Source: <https://hincesti.md/>

<sup>11</sup> Source: <https://nisporeni.md/>

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## 4.2 Needs and expectation of stakeholders

### 4.2.1 Needs and expectation of the EBRD and the Borrower (GoM)

(a) The Borrower shall, and shall cause any contractor to, carry out the Project in accordance with environmental regulations and standards in effect in the EU and the Republic of Moldova and the Designated Environmental and Social Requirements.

(b) Except as otherwise specified in the Environmental and Social Action Plan, the Borrower shall, and shall cause any contractor to ensure that environmental and/or social risks and impacts arising from Associated Facilities are managed and mitigated in accordance with applicable law, good international practice and the objectives of the designated ESRs.

(c) Without limiting the foregoing, the Borrower shall diligently implement and adhere to the Environmental and Social Action Plan.

d) The Borrower and EBRD may from time to time agree to amend the Environmental and Social Action Plan in response to changes in the circumstances of the Project, the Borrower or the Borrower's business and operations, unforeseen events and the results of monitoring. Without limiting the generality of the foregoing,

(1) if there is any adverse environmental or social impact or issue that was not foreseen by or contemplated in the Environmental and Social Action Plan either entirely or as to its severity;

(2) if any impact mitigation measure set out in the Environmental and Social Action Plan is not sufficient to eliminate or reduce any environmental or social impact to the level contemplated by the relevant designated Environmental and Social Requirements within the time frame set out in the Environmental and Social Action Plan; or

(3) if any material non-compliance with the Environmental and Social Action Plan or with any Environmental and Social Law has been identified by the Borrower, EBRD or by an inspection from any regulatory or enforcement authority or by any audit,

the Borrower shall, as soon as reasonably practicable and subject to the consent of EBRD, develop and incorporate into the Environmental and Social Action Plan such additional or revised mitigation measures as may be necessary to achieve compliance with the designated Environmental and Social Requirements, the Environmental and Social Action Plan or Environmental and Social Law, in each case in a manner satisfactory to EBRD.

The Borrower is the Republic of Moldova, represented by the Ministry of Finance.

### 4.2.2 Needs and expectation of the Implementing Agency (Client)

According to the Loan Agreement<sup>12</sup> for Moldova Road Project V, the Implementing Agency is the Ministry<sup>13</sup> of Infrastructure and Regional Development (MoIRD), operating via the JSC National

<sup>12</sup> Source: Approved by the Board of Directors on 28 November 2023

<sup>13</sup> Source: <https://midr.gov.md/ro/despre-minister/mandat>

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Road Administration (Employer). Planning and oversight of the road network in Moldova is the responsibility of the MoIRD who also controls the Employer, which is the agency responsible for executing road investments, maintenance and safety measures.

The Employer is responsible for the efficient administration of the financial resources allocated from the state budget, the road fund and external sources for the rehabilitation, development, modernisation and maintenance of the national public road network of the Republic of Moldova.

#### **4.2.3 Needs and expectation of the JSC National Road Administration (Employer)**

The Employer's needs and expectations are to be implemented in the present document on the construction site, with the aim of promoting quality and safety, minimising the impact on the health and safety of the workforce and the community, and safeguarding the environment. This will be achieved by having in place approved technical documents specific to the construction site, and by performing construction works without complaints from the community, and without any incidents or accidents on construction sites.

The Employer ensures that the project's activities are carried out in accordance with the EBRD's Environmental and Social Policy<sup>14</sup> 2019 and Performance Requirements, and in accordance with the Republic of Moldova's applicable technical and environmental and social laws.

The Employer has established a Project Implementation Unit (PIU) for managing technical and environmental and social aspects in order to enhance the Project's performances and based on established roles, responsibilities and authority for implementation process for fulfilling of the compliance obligation.

The Employer and PIU have extensive experience in implementation of similar project and qualified staff. Moreover, the PIU will support the Employer with the procurement activities, contracts monitoring and administration as well as reporting performances.

The Employer and PIU will be supported by the dedicated independent Supervision Consultant (Engineer), who will provide day-to-day administration of works contracts funded under the Project.

#### **4.2.4 Needs and expectation of community**

##### **4.2.4.1 General**

The Employer will implement the Project in manner not to affect the community to risks and impacts as a result of presence of equipment and infrastructure and assesses risks, established mitigation measures and control residual risks during construction stage.

<sup>14</sup> Source: <https://www.ebrd.com/home/news-and-events/publications/institutional-documents/environmental-and-social-policy-2019.html>

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The Employer identified specific affected communities that are relevant for the Project's ESMS, established their relevant needs and expectation and compliance obligation to protect community health and safety.

#### **4.2.4.2 Specific requirements**

Needs and expectation of the community affected by the rehabilitation process of the express road M1, are promoted by the Employer in order to identify, assess and manage health, safety and security risks, based on the PR no.1 Assessment and Management of ES Risks and Impacts, to project-affected communities (PACs) and consumers during the construction and operation of the road from both routine and non-routine activities and to incorporate health and safety at the earliest opportunity into the project design.

The Employer has identified adequate security management arrangements and contingency plans to protect the integrity of project operations from security incidents or changes to the operating environment, ensuring the safety of those affected by the project.

The Employer has documented needs and expectation of the community and will implement on construction site procedures and plans developed in compliance with EBRD's Performance Requirements and the applicable laws of the Republic of Moldova.

The revised Stakeholder Engagement Plan (SEP) is provided in the Annex no.1.

### **4.3 The scope of the Project's ESMS**

The Project's ESMS scope is to assess and manage ES-specific risks and impacts during the design stage, taking into consideration the relevant standards:

- PR no. 1: Assessment and Management of ES Risks and Impacts
- PR no. 2: Labour and Working Conditions
- PR no. 3: Resource Efficiency and Pollution Prevention and Control
- PR no. 4: Health, Safety and Security
- PR no. 5: Land Acquisition, Involuntary Resettlement and Economic Displacement
- PR no. 6: Biodiversity Conservation & Sustainable Management of Living Natural Resources
- PR no. 8: Cultural Heritage
- PR no. 10: Information Disclosure and Stakeholder Engagement.

The Project's Environmental and Social Management System (ESMS) is a dynamic, continuous process initiated and supported by the Employer. It involves meaningful communication between the Employer, the Project's workforce, and the local communities affected by the Project's construction activities and other specific stakeholders.

The Project's ESMS applies a risk assessment procedure as described in the EBRD's PR No. 1, Assessment and Management of ES Risks and Impacts for the Project's Life Cycle (pre-construction, construction, operation and decommissioning).

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The Employer ensures sufficient resources to implement and manage ES specific risks and impacts for implementation and of the ESMS based on established procedure and plans.

#### **4.4 The Project's Environmental and Social Management System**

The Employer has established the Project's ESMS based on the Project's life cycle with the scope to achieve the intended outcomes taking into consideration the Project's business processes (design and development, procurement, human resources, construction, operation, etc.).

The Project's ESMS shall be used by the Supervision Consultant, the General Contractor, stakeholders and other interested parties involved in the implementation process of the Moldova Road Project V in order to meet the requirements of the ESAP and compliance obligation with:

- The Employer's Environmental and Social Management Policy and Objectives;
- The Project's specific ESMP for rehabilitation process of the express way M1;
- The Project's specific Labour Management Plan for rehabilitation process of the express way M1;
- The Project's specific Code of Conduct (CoC) for rehabilitation process of the express way M1
- The Project's specific Consultation and Participation Plan for rehabilitation process of the express way M1;
- The Project's specific Complaint Mechanism for rehabilitation process of the express way M1;
- The Project's specific Stakeholder Engagement Plan (SEP) for rehabilitation process of the express way M1
- The Project's specific Training and Economic Inclusion Plan for rehabilitation process of the express way M1.

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## 5 LEADERSHIP

### 5.1 Leadership and commitment

The Employer, JSC National Road Administration, demonstrates leadership and commitment with respect to the compliance with the Project's environmental and social requirements established in the ESAP by the following means:

- Taking accountability for the effectiveness of the ESMS;
- Ensuring that the Environmental and Social Management Policy and Objectives are established and are compatible with the strategic direction and the Project's context;
- Ensuring the integration of the ESMS requirements into the Project's life cycle;
- Ensuring that the resources needed for implementation of ESMS are available;
- Communicating the importance of effective ES management and of conforming to the ESMS requirements;
- Ensuring that the ESMS achieves its intended outcomes;
- Directing and supporting persons to contribute to the effectiveness of the ESMS;
- Promoting continual improvement; and
- Supporting other relevant management roles to demonstrate their leadership as it applies to their areas of responsibility.

### 5.2 The Environmental and Social Management Policy

The Employer has established, implements and maintains an Environmental and Social Management Policy that:

- It is vital to respect and protect the fundamental principles and rights of all project workers.
- It is also crucial to ensure fair treatment, non-discrimination, non-harassment and equal opportunities for all project workers.
- Furthermore, it is essential to establish, maintain and improve a sound worker-management relationship, based on social dialogue.
- In addition, it is vital to ensure compliance with national labour and employment laws, social security laws and any collective agreements.
- Protecting all project workers is also paramount, including vulnerable project workers who might be at higher risk of discrimination, harassment or exploitation, such as women and gender-diverse workers, young workers, workers with disabilities, migrant workers and refugees, self-employed and contracted workers, as well as workers in the project's core supply chain.
- Finally, it is essential to prevent the use of forced labour and child labour.
- It is vital to ensure that all project workers have access to effective channels to raise and address workplace concerns.
- It is also crucial to safeguard and promote the health, safety and security of project workers by creating safe, healthy and secure working environments and implementing appropriate procedures and plans that are proportionate to the specific risks associated with the project.
- Furthermore, it is essential to identify, assess and manage health, safety and security risks to project-affected communities and consumers during the project lifecycle from the routine and



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non-routine activities and to incorporate health and safety at the earliest opportunity into the project design.

- In addition, it is imperative to outline a systematic approach to stakeholder engagement that will help the employer build and maintain a constructive relationship with their stakeholders.
- Moreover, it is vital to provide a means for effective and inclusive engagement with project stakeholders throughout the project cycle.
- Finally, it is essential to ensure that appropriate environmental and social information is disclosed and meaningful consultation is held with the project's stakeholders and, where appropriate, that feedback provided through the consultation is taken into consideration.

It is also crucial to ensure that complaints from stakeholders are responded to and managed appropriately.

Lastly, it is vital to ensure that stakeholder engagement is conducted in a manner that protects the privacy and safety of stakeholders and is free from retaliation.

The Employer's Environmental and Social Management Policy is (i) available as documented information, (ii) communicated to the stakeholders and other interested parties, (iii) available to interested parties, and (iv) relevant and appropriate.

## 5.3 Organizational roles, responsibilities and authorities

### 5.3.1 The Project Implementation Agent (PIA)

The Ministry<sup>15</sup> of Infrastructure and Regional Development (MoIRD) is the central specialized body, which promotes state policy in the field of infrastructure and regional development and operates in accordance with the Constitution and Laws of the Republic of Moldova, Parliamentary Decisions, Acts of the President of the Republic of Moldova, Government Decisions and Orders, as well as other normative acts. The Ministry performs the following functions: (i) Rehabilitation, modernization and expansion of the national road network; (ii) Establishing a sustainable mechanism for financing the maintenance of public roads and increasing the responsibility for their administration; and (iii) Improving road safety.

### 5.3.2 The Employer and Project Implementation Unit

The JSC "National Road Administration"<sup>16</sup> (NRA) is founded by the Public Property Agency. Policies in the field of road infrastructure are developed by the Ministry of Infrastructure and Regional Development of the Republic of Moldova.

The objectives of JSC "National Road Administration" activity are as follows: maintenance, repair, rehabilitation, development, modernization, and administration of national public roads, as well as other road infrastructure elements defined by law, in order to ensure safe, smooth, and continuous road

<sup>15</sup> Source: <https://midr.gov.md/en/about-the-ministry/mandate>

<sup>16</sup> Source: <https://www.andsa.md/en/despre-noi/>

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traffic; implementation of programs for the unified development of the national public road network in accordance with the requirements of the national applicable legislation.

The JSC "National Road Administration" is responsible for the efficient management of financial resources allocated from the State Budget, Road Fund, and External Sources for the rehabilitation, development, modernization, and maintenance of the national public road network of the Republic of Moldova.

The JSC "National Road Administration" has service contracts with JSC “DRUMURI” for the maintenance of national public road network. These contracts set out the conditions for the annual maintenance of the roads and govern the relationship between NRA and JSC “DRUMURI”. The JSC "National Road Administration" (NRA) is responsible for maintaining the 5,951 km of national public roads (express, republican and regional roads).

### **5.3.3 The General Contractor**

The General Contractor, engaged by the Employer during construction phase, shall take the responsibility for physical implementation of mitigation measures provided in the present document, as well as take measures for controlling residual ES risks and impacts during the construction activities in accordance with the Project's specific ES Plans, contractual documents and applicable social legislation of the Republic of Moldova.

### **5.3.4 Roles, responsibilities and authority of the Supervision Consultant**

The Supervision Consultant, engaged by the Employer to monitor and measure the implementation process on site, shall employ an Environmental, Social and Health and Safety (HSSE) Manager(s) to be permanent on construction site who shall ensure that the General Constructor carries out day-to-day implementation of the Project's specific ESMP, LMP, CoC, TMP, and revised SEP in line with the environmental and social clauses included in contractual documents.

The main objective of the assignment for the Consultant is to assist the Employer in the administration and supervision of the construction works for rehabilitation of the express national road M1 with due diligence, to carry out the duties assigned to him in the Contract and provide other services.

The Supervision Consultant, hired by the Employer, for daily supervision over the implementation of civil construction works, will be responsible for supervising the timely, proper and reliable implementation of the construction works and measures, as provided by the Project's specific ESMP, LMP, CoC, TMP, revised SEP, etc.

The Employer shall oversee the environmental and social aspects of all activities for rehabilitation process of the sector of road from the Hincesti and Nisporeni Districts in order to ensure that established mitigation measures are designed and implemented properly to prevent and minimize likely adverse environmental and social impacts.

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The Supervision Consultant shall also ensure that all necessary agreements and permits are obtained by the General Contractor from relevant state and local public authorities before the construction works are start. The Employer may request to check if such permits are issued and valid as well as if the Project's specific ESMP, LMP mitigation and monitoring aspects are implemented on the ground during the rehabilitation process of the road M1 according to applicable the Republic of Moldova ES legislation.

## 5.4 Consultation and participation process

### 5.4.1 Consultation process

The consultation process represents the key factors of success for the ESMS implementation by the Employer ensure that identified ES risks and impacts and proposed mitigation measures at the design phase are communicated to the specific stakeholders workforce at the construction and operational stages.

The consultation process<sup>17</sup> implies a two-way communication involving dialogue (kick off meeting, toolbox-talk, EHSS meetings, EHSS committees, etc.) and exchanges (leaflets, notices published on the informational panels, risks assessment sheets, etc.) during ESMS implementation. Consultation process involves the timely provision of the information necessary for the project stakeholders to give informed feedback to be considered by the Supervision Consultant and the General Contractor before making a decision. Participation process enables workers to contribute to decision-making processes on ESMS performance measures, proposed changes and continual improvement of the labour process.

Feedback from the consultation process or the complaint mechanism (CM) on the ESMS implementation is dependent upon workforce and community participation. The Employer shall ensure workforce and community are encouraged to report hazardous and other situations, so that preventive measures can be put in place and corrective action taken.

The reception of suggestions, grievances, complaints, proposals for improvements, suggestions received from workforce and community shall be a free or anonymous format and the consultation process will be more effective if workers do not fear the threat of dismissal, disciplinary action or other such reprisals when making them.

The Employer ensures that the Project's specific stakeholders (workers and community) are consulted with the Project's specific ES risks and provided other specific, these include, but are not limited to:

- ES risks and mitigation measures;
- Labour and working conditions risk and mitigation measures;
- Health, safety and security risks and mitigation measures of workforce and community;
- Communication risks and mitigation measures;

<sup>17</sup> Source: GD no. 95/2009 Organization activities for the protection of workers at the workplace and prevention of professional risks - [https://www.legis.md/cautare/getResults?doc\\_id=123544&lang=ro#](https://www.legis.md/cautare/getResults?doc_id=123544&lang=ro#)

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- Emergency risks and mitigation measures.

The Committee agenda shall normally include subjects which are / were of concern to workforce and community on various ES and health and safety issues. The agenda items that may be typically included are:

- The outcomes of any recent inspection by the enforcing authorities;
- The outcomes of any third-party audits (the state supervision agencies) that relate to social issues;
- Any recent accidents, incidents and near misses – reports and outcomes;
- Any problems arising from workplace inspections, e.g. housekeeping, blocked fire exits etc.
- Also, areas such as the identification of unsafe acts, conditions and hazards that have been reported and perhaps not suitably rectified;
- Other topics may include, stress at work, due to long hours, or environmental conditions, manual handling issues, worker dissatisfaction with welfare arrangements, food and catering contractors etc.;
- Changes in legislation, in technical design, and their likely impact;
- Outcomes of risk assessment and safe working system reviews;
- Accident, incident, sickness, absence and ill health statistics, etc.;
- Complaints from direct and subcontracted workers, supply chain, community workers and from affected persons, LPA, CPA, etc.
- Workplace inspection scheduled.

The requirement for the consultation process is described in the Project's specific Consultation and Participation procedure for rehabilitation process of the express way M1 and is presented in the Annex no. 2.

#### **5.4.2 Participation process**

The Employer is committed to consulting project-specific stakeholders on environmental and social issues, and other pertinent matters, both before and during the construction stage. They also ensure that these stakeholders are involved in the decision-making process based on mitigation measures that have been identified during the design process for construction and operational stages. The aim is to facilitate the involvement of these stakeholders in monitoring process of the environmental and social aspects on construction and operational sites.

The employer is committed to ensuring a positive and productive work environment for site-specific stakeholders. To this end, a Project's Complaint Mechanism has been established, which is specifically designed to address concerns raised by our valued workforce and community members. This mechanism is readily accessible to all relevant stakeholders and is communicated to them at the time of recruitment.

The complaint mechanism is overseen by appropriate management levels and is intended to address concerns in a prompt, transparent, and understandable manner. The Employer is committed to ensuring that any concerns raised are addressed in a timely manner and without any form of retribution.

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In order to further enhance the Employer's commitment to transparency and fairness, the project's complaint mechanism allows for anonymous complaints to be raised and addressed. It is also important to note that this mechanism does not impede access to other judicial or administrative remedies that may be available under the law or through existing arbitration procedures. Furthermore, it is not intended to substitute for grievance mechanisms provided through collective agreements.

The purpose of the participation process is to meet and discuss ES risks and mitigation measures, procedures and other ESMS documents specific for implementation by the Employer.

The participation process is described in the Project's specific Consultation and Participation Plan for rehabilitation process of the express way M1 is presented in the Annex no. 2.

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## 6 PLANNING

### 6.1 Actions to address risks and opportunities

#### 6.1.1 General procedure

The Moldova Road Project V is classified by the EBRD as a category B project (2019 ESP) for Tranche 1 and 2.

Tranche 1 (the express road M1) was subject to Environmental and Social Due Diligence (the “ESDD”) and Road Safety Audit (the “RSAu”) conducted by independent consultants. ESDD and RSAu for Tranche 2 are ongoing, and the ESAP will be updated accordingly for Tranche 2 prior to its commitment. Findings from the ESDD and the RSAu for Tranche 1 and preliminary findings for Tranche 2 suggest that, in general, the Employer complies with EBRD’s Performance Requirements (“PRs”) and potential E&S impacts can be limited and addressed through good international construction practice and additional mitigation measures.

#### 6.1.2 The Environmental and Social Aspects and Impacts

The ES risks assessment procedure specific to the Moldova Road Project V is triggered by the Employer's Environmental and Social Management Policy. This policy is committed to identifying ES aspects and dangers, assessing risks, and establishing mitigation measures based on relevant EBRD PRs. The policy also manages risks through the project's design, construction, operations, and decommissioning or closure and reinstatement.

The objective of the risk assessment process is to:

- identify and assess ES risks and impacts of the project;
- adopt a mitigation hierarchy approach to address ES risks and impacts from project activities on workforce, affected communities, and the environment;
- develop the Project’s specific ESMP, Labour Management Plan, Code of Conduct, Consultation and Participation Plan, Complaints Mechanism, Capacity Building Plan, commensurate to the specific ES risks and impacts of the project in a manner consistent with the relevant PRs; and
- promote continuous improvement of the Employer’s Environmental and Social Management Policy and performance through the effective use of the management system.

#### 6.1.3 Legal requirements and other requirements

The Employer has identified applicable ES laws and relevant EBRD’s Performance Requirements and maintains the procedure to:

- a) determine and have access to the compliance obligations related to specific ES risks and ESMS;
- b) determine how compliance obligations apply to the specific stage of the Project (design, construction, operation, etc.) and what needs to be communicated;
- c) take compliance obligations (ESAP) into account when developing the Project’s specific ES Plans and establish actions for continually improving its ESMS.

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### 6.1.4 Actions to comply with obligations

According to the Employer's Environmental and Social Management Policy, the following actions have been taken to control specific risks:

- Established mitigation measures for controlling significant ES risks included in the Project's specific ESMP
- Established mitigation measures for controlling labour and working conditions and health, safety and security risks included in the Project's specific Labour Management Plan (LMP)
- Established mitigation measures for controlling behaviour risks included in the Project's specific Code of Conduct Plan (CoC)
- Established mitigation measures for controlling consultation and participation risks included in the Project's specific Consultation and Participation Plan (CPP)
- Established mitigation measures for controlling communication risks included in the Project's specific Complaint Mechanism (PCM)
- Established mitigation measures for controlling capacity building and training risks included in the Project's specific Training and Economic Inclusion Plan (TEIP)
- Established mitigation measures for controlling community engagement risks included in the Project's specific revised Stakeholder Engagement Plan (SEP)

The employer will include the following requirements in the tender document, and the general contractor will identify measures to mitigate residual risks on the construction site. The supervision consultant shall ensure the implementation of these actions, evaluate their effectiveness, and report on monthly performance.

## 6.2 ES Objectives and actions to achieve them

### 6.2.1 The strategic ES Objectives

The strategic Environmental and Social Objectives are to provide, during the implementation of the present plan at the construction and operational stages, a consistent and systematic approach to promote the environmental and social culture on construction site for workforce, affected community by the construction activities and other stakeholders by:

- a) Complying with identified operating criterion specific for construction and operational stages,
- b) Having competent personnel with defined role and responsibilities to operate risks specific for construction and operational stages,
- c) Communicating the ES risks and mitigation measures to subcontractors, supply chain and all interested parties specific for construction and operational stages,
- d) Adopting specific ES risks and mitigation measures by subcontractors during the construction and operational stages;
- e) Controlling ES risks by administrative control and establishing safety operational procedures specific for construction and operational stages,
- f) Controlling abnormal situation and risks which can trigger emergency situations specific for construction and operational stages,



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- g) Monitoring and measuring all identified risks, and
- h) Improving continually the implementation process on construction and operational sites and report performances to the Employer and other interested parties.

### **6.2.2 Actions to achieve ES objectives**

The Employer's strategic objectives are consistent with the Environmental and Social Management Policy. The Strategic are measurable, monitored, and communicated to workforce, community and other interested parties.

At the Construction stage, the General Contractor shall implement the Project's specific ES Plans with requirements described in the present document and develop its own Contractor's CESMPs (ESMP, OHSP, CoC, TMP, LCP, EPRP, etc.) and the achieved construction ESHS performances shall be incorporated in the Monthly Progress Reports by the General Contractor and submit documents for revision and approval to the Supervision Consultant.

At the operational stage, the Employer shall implement ESHS requirements by developing and maintaining its own ES Management System<sup>18</sup> comprising relevant ES aspects and performances shall be reported to the State Authorities and other interested parties.

<sup>18</sup> Source: <https://www.ifc.org/en/insights-reports/2015/publications-handbook-esms-general>

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Table 1: Actions to achieve the environmental and social objectives

| No. | Actions                                                                                                                                                                           | Resources  | Responsibility         | When completed                   | KPIs                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
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| 1   | Comply with identified operating criterion specific for construction and operation stages established in the Project's specific ESMP, LMP, CoC, CPP, etc.                         | Contractor | Engineer<br>Contractor | During the<br>Construction Stage | <ul style="list-style-type: none"> <li>- The Contractor's site-specific ESMP</li> <li>- The Contractor's own Labour &amp; OHS Plan</li> <li>- The Contractor's Code of Conduct Plan (CoCP)</li> <li>- The Contractor's own Consultation and Participation Plan (CCPP)</li> <li>- The Contractor's Complaint Mechanism (CCM)</li> <li>- The Contractor's Emergency Preparedness and Response Plan</li> <li>- The Contractor's specific Capacity Building Plan (CBP)</li> <li>- The Contractor's specific Communication Plan and the Stakeholder Engagement Plan (SEP)</li> <li>- The Contractor's external Training Plan</li> </ul> |
| 2   | Competent personnel with defined role and responsibilities to operate risks specific for construction and operation stages                                                        | Contractor | Engineer<br>Contractor | During the<br>Construction Stage |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 3   | Communicate the ES risks and mitigation measures to workforce, community subcontractors, supply chain and other interested parties specific for construction and operation stages | Contractor | Engineer<br>Contractor | During the<br>Construction Stage |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 4   | Adopt specific ES risks and mitigation measures by subcontractors during the construction and operation stages                                                                    | Contractor | Engineer<br>Contractor | During the<br>Construction Stage |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 5   | Control ES risks by establishing safety operational procedures specific for construction and operation stages                                                                     | Contractor | Engineer<br>Contractor | During the<br>Construction Stage |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 6   | Control abnormal situations and risks which can trigger emergency situations specific for construction and operation stages                                                       | Contractor | Engineer<br>Contractor | During the<br>Construction Stage |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 7   | Monitoring and measuring identified risks and process during construction and operation stages                                                                                    | Contractor | Engineer<br>Contractor | During the<br>Construction Stage |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 8   | Continually improve the implementation process on construction and operation sites and report performances to the Employer, etc.                                                  | Contractor | Engineer<br>Contractor | During the<br>Construction Stage |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 9   | Training and economic inclusion of the local workforce                                                                                                                            | Contractor | Engineer<br>Contractor | During the<br>Construction Stage |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |

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## 7 SUPPORT

### 7.1 Resources

The Employer has provided the necessary resources for implementation and continual improvement of the Project's ES Management System by engaging a Supervision Consultant to monitor and measure the implementation process and General Contractor to perform construction activities on site.

### 7.2 Competence

The Employer has:

- determined the necessary competence criterion for workers that affects or can affect the Project's ES performance;
- ensure that workers are competent on the basis of appropriate education, training or experience;
- take actions to acquire and maintain the necessary competence, and evaluate the effectiveness of the actions taken;
- retain appropriate documented information as evidence of competence.

Before starting the construction activity on site, the General Contractor shall select and hired 10 young people from the Hincesti and Nisporeni Districts with the support of the National Employment Agency (ANOFM) in order to involved in the training process in areas related to surveying, earthworks, road engineering, structures (tunnels, bridges) design and construction, occupational health and safety management, quality assurance management, mechanical and electrical engineering, project management and others.

The General Contractor shall select and train 10 unemployed young people based on requirements established in the Project's specific Training and Economic Inclusion Plan provide in the Annex no. 3 and the Supervision Consultant shall monitor and measure the process.

### 7.3 Awareness

The Employer ensures that Project's workforce shall be made aware of:

- The Project's ES Management Policy and Objectives;
- Specific ES risks and mitigation measures established in the Project's specific ES Plans;
- Roles, responsibilities and authority for implementation of site the Project's specific ES Plans;
- Consequences of not compliance with requirements established in the Project's specific ES Plans.

### 7.4 Communication

#### 7.4.1 General

The Employer has developed and implement the communication procedure for:

- Consultation and Participation Plan for the project's workforce and affected community

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- Complaint Mechanism to be used by workforce and affected communities
- Stakeholder Engagement Plan to be used for engagement of workforce and affected communities in the rehabilitation process of the express way.

The Employer took into account diversity aspects (e.g. gender, language, culture, literacy, disability) when considering its communication needs.

The Employer ensured that the views of external interested parties are considered in establishing its communication processes.

The Employer's communication processes took into account (ii) applicable legal requirements and relevant EBRD's Performance Requirements and (ii) ensured that ES information to be communicated is consistent with information generated within the ES management system, and is reliable.

The Employer responds to relevant communications on its ES management system and retains documented information as evidence of its communications, as appropriate.

#### **7.4.2 Internal communication**

The Employer communicates (i) internally information relevant to the ES management system among the Supervision Consultant and General Contractor at various levels and functions, including technical and ES aspects; b) ensures its communication processes enables workers to contribute to continual improvement.

#### **7.4.3 External communication**

The Employer externally communicates information relevant to the ES management system, as established by the Employer's communication process and taking into account applicable legal requirements and other requirements.

The Project's Complaint Mechanism is provided in the Annex no. 3.

### **7.5 Documented information**

#### **7.5.1 General**

The Employer's ES management system includes the following documented information for rehabilitation process of the express way M1:

- a) The Project's specific ESMP
- b) The Project's specific Labour Management Plan
- c) The Project's specific Code of Conduct Plan
- d) The Project's specific Complaint Mechanism
- e) The project's specific Consultation and Participation Plan

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- f) the revised Project's specific Stakeholder Engagement Plan
- g) the Project's specific Training and Economic Inclusion Plan.

### **7.5.2 Creating and updating**

The Employer ensures that documented information, developed during the detailed design, shall be updating as appropriate (i) identification and description (ii) format and media (e.g. paper electronic) and (iii) review and approval for suitability and adequacy.

### **7.5.3 Control of documented information**

Documented information required by the Project's ES Management System is controlled by the Employer in order to ensure (i) it is available and suitable for use, where and when it is needed and (ii) it is adequately protected.

The Employer addresses, for the control of documented information, the following activities, as applicable: (i) distribution, access, retrieval and use, (ii) storage and preservation, including preservation of legibility, (iii) control of changes (e.g. version control), (iv) retention and disposition.

Documented information of external origin necessary for the planning and operation of the Project's ESMS are identified, as appropriate, and controlled by the Employer.

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## 8 OPERATION

### 8.1 Operational planning and control

#### 8.1.1 General

The Employer has implemented actions for controlling ES risks and impacts in order to achieve the strategic Environmental and Social Objectives by:

- (i) Establishing Operating criteria for the construction process, and
- (ii) implementing control of the construction process in compliance with operating criteria.

#### 8.1.2 Operating Criteria for controlling ES significant aspects

The operating criteria for controlling specific ES aspects are outlined in the Project's specific ESMP, which is based on PR nos. 1, 3, 4, 5, 6, 8, 10, and technical data provided by the technical consultant. The document aims to prevent pollution of the air, water, land, and consumption of finite resources, while also ensuring biodiversity protection and the conservation of the cultural heritage. It also establishes specific roles, responsibilities, and authorities to be implemented on the construction site.

The Project's specific ESMP stipulates the environmental and social criterion for controlling specific ES risks for rehabilitation process and further operation and maintenance of the new road and purchased equipment.

The Project's specific ESMP shall be implemented on the construction site by the General Contractor and the Supervision Consultant shall monitor and measure the implementation process and report performances to the Employer. The Project's specific ESMP is presented in the Annex no. 4.

#### 8.1.3 Operating Criteria for controlling labour and working conditions and health, safety and security

The operating criteria for controlling specific labour and working conditions risks are included in the Project's specific Labour Management Plan, developed based on PR no.1, PR no. 2, PR no. 4 and PR no. 10 and technical data provided by the Technical Consultant. The document has the scope to promote safety and health at work, fair treatment, non-discrimination and equal opportunity, etc. by establishing specific role, responsibilities and authorities to implement on construction site.

The Project's specific LMP stipulates the labour and working criterion for controlling labour, HSS risks for rehabilitation process and further operation and maintenance of the new road and purchased equipment.

The Project's specific LMP shall be implemented on construction site by the General Contractor and the Supervision Consultant shall monitor and measure the implementation process and report performances to the Employer. The Project's specific LMP is presented in the Annex no. 5.

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### **8.1.4 Operating Criteria for controlling Consultation and Participation process on site**

The operating criteria for controlling the consultation and participation of the project's workforce and affected community are set out in the project's specific Consultation and Communication Plan. This plan was developed based on PRs 1, 2, 4 and 10, and technical data provided by the Technical Consultant. The document aims to promote consultation and participation of the workforce and affected communities on site by establishing specific roles, responsibilities and authorities to implement on the construction site.

The Project's specific Consultation and Participation Plan sets out the criteria for consultation and participation in order to control specific health, safety and security risks for the workforce and affected communities during the rehabilitation process and further operation and maintenance of the new road and purchased equipment.

The Project's specific Consultation and Participation Plan shall be implemented on the construction site by the General Contractor and the Supervision Consultant shall monitor and measure the implementation process and report performance to the Employer. The Project's specific Consultation and Participation Plan is presented in the Annex no. 6.

### **8.1.5 Operating Criteria for controlling complaints process on site**

The operating criteria for controlling specific complaints during the consultation and participation of the project's workforce and affected community are included in the project's specific Complaint Mechanism. This mechanism has been developed based on PR No. 1, PR No. 2, PR No. 4 and PR No. 10, as well as technical data provided by the Technical Consultant. The document aims to promote a communication procedure to be used by the project's workforce and affected communities on site. This procedure establishes specific roles, responsibilities and authorities to be implemented on the construction site.

The Project's specific Complaint Mechanism stipulates the specific communication criterion for receiving and managing complaints from the workforce and affected communities and procedure to reporting to them during rehabilitation process and further operation and maintenance of the new road and purchased equipment.

The Project's specific Complaint Mechanism will be implemented on the construction site by the General Contractor. The Supervision Consultant will monitor and measure the implementation process and report on performance to the Employer. The Project's specific Complaint Mechanism is presented in the Annex no. 7.

### **8.1.6 Operating Criteria for controlling the stakeholder engagement on site**

The operating criteria for controlling the stakeholder engagement during the road rehabilitation process are outlined in the Project's Stakeholder Engagement Plan. This plan was developed based on PR No. 1, PR No. 2, PR No. 4 and PR No. 10, and technical data provided by the Technical



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Consultant. The document aims to continue engaging specific stakeholders in the construction process on the construction site by establishing clear roles, responsibilities and authorities to be implemented on site.

The Project's Stakeholder Engagement Plan details the specific criteria for consultation and participation, as well as the process for receiving and managing complaints from the workforce and affected communities. It also outlines the procedure for reporting to them during the rehabilitation process, as well as the further operation and maintenance of the new road and purchased equipment.

The General Contractor and the Supervision Consultant will implement the Project's specific Stakeholder Engagement Plan on the construction site. The Supervision Consultant will monitor and measure the implementation process and report on performance to the Employer. Please refer to Annex No. 1 for details of the Project's Stakeholder Engagement Plan.

#### **8.1.7 Operating Criteria for controlling the training and economic inclusion process**

The operating criteria for controlling the training and economic inclusion process during the road rehabilitation process are outlined in the Project's specific Training and Economic Inclusion Plan. This plan was developed based on EBRD's requirements for economic inclusion of the young people in the construction process during the rehabilitation process. The document aims to enhance economic inclusion of unemployed young people in the construction process on the site by establishing clear roles, responsibilities and authorities to be implemented on site.

The Project's specific Training and Economic Inclusion Plan details the specific criteria for labour and working conditions, training duration, trainer selection, compliance with site-specific ES rules, as well as the process for lodging complaints to the Supervision Consultant (Engineer) and the Employer. Please refer to Annex No. 3 for details of the Project's specific Training and Economic Inclusion Plan.

### **8.2 Control the construction process versus the established operating criteria**

The Supervision Consultant has the responsibility and authority to oversee the implementation of the Project's specific ES Plans. These plans were developed by the Employer with support from the Design Consultant during the design stage.

The Supervision Consultant is also responsible for reviewing and approving the Contractor's own CESMP, OHSP, Code of Conduct, TMP, Labour Management Plan, EPRP, etc. The Supervision Consultant will monitor and measure the Project's ES Plans and report ES performances on a monthly basis to the Employer.

### **8.3 Emergency preparedness and response**

The Employer has established actions to control abnormal situation from the construction site in order to respond to potential emergency situations, including:

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- a) accidental pollution of air, soil, water, consumption of finite resources, etc.,
- b) labour and working conditions incidents and complaints from workforce and affected communities
- c) HSS incidents and accidents on site, including traffic accidents;
- d) complaints from workforce and affected communities (SH, GBV, TiP, SE, HIV-AID & STDs, etc.
- e) fire and explosion and natural calamities,
- f) complaint from central public authorities regarding the collection of plants, hunting, fishing and other prohibited practices on site;

The Employer ensures that all identified potential abnormal conditions on construction site shall be control by the General Contractor by developing Emergency Preparedness and Response Plans based on specific emergency scenarios in order to control abnormal situation:

- a) develops an EPRP, discloses and analyses with workforce, specific roles and responsibilities and coordinate EPRP with affected community;
- b) Provide training for workforce the planned response;
- c) Periodically test and exercise the planned response capability;
- d) Evaluate performance and, as necessary revise the planned response, including after testing and, in particular, after the occurrence of emergency situations;
- e) Communicate and provide relevant information to all workers on their duties and responsibilities;
- f) Communicate relevant information to Supervision Consultant, visitors, emergency response services, CPA and LPAs and the local community;
- g) Take into account the needs and capabilities of all relevant interested parties and ensuring their involvement, as appropriate, in the development of the planned response.

The Supervision Consultant shall review and approve the EPRP developed by the General Contractor for responding to potential emergency situations.

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## 9 PERFORMANCE EVALUATION

### 9.1 Monitoring, measurement, analysis and performance evaluation

#### 9.1.1 General

The Employer monitors and measures, analysis and performance evaluation of the implementation of the Project's ES Management Policy.

The Employer has determined:

- a) compliance obligation with relevant EBRD's PRs and (ii) the Project's specific ES risks stipulated in the Project's specific ES Plans (iii) progress towards achievement of the strategic and specific ES Objectives and (iv) effectiveness of operational and other controls;
- b) the monitoring and measurement of the Project's ES Plans;
- c) the monitoring and measurement of the operating criteria established for controlling ES risks;
- d) the monitoring and measuring construction process during the construction stage;
- e) Analyse, evaluate and communicate the Project's ES performances.

The Employer evaluates the Project's ES performance, determines the effectiveness of the Project's ESMS and report them to the stakeholders.

#### 9.1.2 Evaluation of compliance

##### 9.1.2.1 General

The Employer evaluates compliance with obligations and applicable legal requirements and report performance to stakeholders.

The Employer (i) determine the frequency and method(s) for the evaluation of compliance, (ii) evaluate compliance and take action, (iii) maintain knowledge and understanding of its compliance status with legal requirements and other requirements and (iv) retain documented information of the compliance evaluation result(s).

##### 9.1.2.2 Non-Compliance with requirements of the approved the Project's ES Plans

The Employer is responsible for ensuring that the requirements set out in the approved Project's specific ES Plans are implemented on the construction site by the general contractor. This implementation must adhere to the defined roles, responsibilities and authorities of the general contractor. The Employer must also ensure an internal communication process for direct and subcontracted workers, as well as an external process and a protocol for dealing with emergency situations.

The Employer ensures that, in the event of any identified non-conformities on the construction site and in accordance with the general terms and conditions of the contract, the General Contractor is

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|  <p>Ministry of<br/>Infrastructure and<br/>Regional Development</p> | <p align="center"><b>THE MOLDOVA ROAD PROJECT V</b></p> <p align="center"><b>The Project's Environmental and Social Management System<br/>specific for reconstruction process of the express road M1<br/>located in the Hincesti and Nisporeni Districts</b></p> <p align="center"><b>Lot no.2 (km 9+208 – km 38+800)</b></p> <p align="center"><b>THE TECHNICAL DESIGN STAGE – REQUIREMENTS</b></p> |  <p>S.A. ADMINISTRATIA<br/>NATIONALA A DRUMURILOR</p> |
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responsible for ensuring that all personnel employed to carry out work are competent and fit to carry out the work they are employed to do. All contractor personnel must receive a site safety induction before they commence work, which should identify any hazards and the risks to their health and safety, as well as the control measures that are to be implemented. Any worker who fails to cooperate with the contractor or fails to take reasonable care of themselves or others, thereby placing them at risk of injury or ill health, will be removed from the site.

**Additionally, according to the EBRD's Environmental and Social Policy 2019<sup>19</sup>, art.14**” During the course of any work activity, the client will ensure that all project workers have appropriate physical fitness to undertake their appointed job roles and are provided with:

- (i) continued and appropriate level of supervision to ensure they do not place themselves or others at risk;
- (ii) safe work equipment; and
- (iii) OSH procedures, work instructions, information, appropriate training and workplace rules that are implemented and enforced.

Where project workers place themselves or others at risk, the Client (Employer) will take proportionate disciplinary action to enforce workplace rules and, where necessary, ensure that **they are immediately removed from the workplace until they are no longer a risk to themselves or others. The client will not impose financial penalties on workers for health and safety infringements.**

On the other hand, according to the Contravention Code<sup>20</sup> of the Republic of Moldova, the following fines shall be paid by the Contractor in case of non-compliance with applicable laws of the Republic of Moldova:

Table 2: Non-compliance with applicable requirements of the Republic of Moldova

| No. | Articles        | Contents                                                                                    | Fines, leis   |
|-----|-----------------|---------------------------------------------------------------------------------------------|---------------|
| 1   | 55              | Violation of labour legislation                                                             | 7500 - 12000  |
| 2   | 55 <sup>3</sup> | Violation of the legislation on safety and health at work                                   | 12500 - 20000 |
| 3   | 69              | Insult                                                                                      | 2500 - 3500   |
| 4   | 70              | Slander                                                                                     | 9000 - 12000  |
| 5   | 70 <sup>1</sup> | Incitement to discrimination                                                                | 6000 - 10500  |
| 6   | 70 <sup>2</sup> | Harassment                                                                                  | 7500 - 12000  |
| 7   | 70 <sup>3</sup> | Victimisation                                                                               | 6000 - 10500  |
| 8   | 71              | Violation of the legislation on access to information and on petitions                      | 1350 - 1650   |
| 9   | 109             | Violation of the water protection regime                                                    | 30000 - 40000 |
| 10  | 154             | Violation of waste management rules                                                         | 30000 - 40000 |
| 11  | 156             | Failure to comply with the provisions of the legislation on environmental impact assessment | 9000 - 12000  |
| 12  | 163             | Violation of the Regulation on the protection of electricity networks                       | 3000 - 6000   |

<sup>19</sup> EBRD's Environmental and Social Policy 2019: <https://www.ebrd.com/home/news-and-events/publications/institutional-documents/environmental-and-social-policy-2019.html>

<sup>20</sup> The Contravention Code no. 218/2008: [https://www.legis.md/cautare/getResults?doc\\_id=150830&lang=ro](https://www.legis.md/cautare/getResults?doc_id=150830&lang=ro)

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|    |     |                                                                                                                                                                                                                                                                                                 |               |
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| 13 | 177 | Violation of legislation and normative documents in spatial planning, urban planning & construction:                                                                                                                                                                                            |               |
|    | (1) | The violation of the legislation in the field of urban planning and constructions                                                                                                                                                                                                               | 12500 – 15000 |
|    | (2) | Violation of legislation and normative documents in construction (lack of information panel at the construction site or its non-compliance with the provisions of the normative acts)                                                                                                           | 17500 - 25000 |
|    | (3) | Violation of the legislation in the field of construction manifested by the execution of construction works without a building permit or project, based on unverified, uncoordinated, unapproved, unapproved projects in the established manner, in violation of the project or building permit | 50000 – 75000 |

## 9.2 Internal audit

### 9.2.1 General

The Employer conducts internal audits at planned intervals to provide information on whether the ES Management System is compliance with the Project's Environmental and Social Management Policy and Objectives and (ii) the specific ES Risks requirements by this document and is effectively implemented and maintained.

### 9.2.2 Internal audit program

The Employer shall:

- a) define the audit criteria and scope for each audit;
- b) select auditors and conduct audits to ensure objectivities and the impartiality of the audit process;
- c) ensure that results of the audits are reported to the Employer.

### 9.2.3 Audit of the resettlement process

The Employer shall develop Terms of References for hiring a Consultant for performing audit of the resettlement process financed by the Government of the Republic of Moldova. The selection process shall be done in compliance with national procurement procedure of the Republic of Moldova.

### 9.2.4 Audit of the post implementation process

The Project's activity falls under the Law No. 86/2014 on Environmental Impact Assessment (EIA) in the Republic of Moldova (which partially transposes the EU EIA Directive and aligns with the Espoo Convention), a "post-implementation audit" is formally legally defined as a Post-Project Analysis (Analiza Post-Proiect). The Environmental Agency is responsible for issuing the Environmental Agreement (Permit). During the implementation process, the Environmental Protection Inspectorate will oversee the process.

The Post-Project Analysis Report (PPAR) must be developed after the final reception, specifically during the operational phase that follows it and must take into consideration the Project's life cycle (pre-construction, construction, operational, etc.).

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The Report shall be submitted to the Environmental Agency and shall contain the following information:

- the Project's context and PDOs;
- The Project structure and life cycle;
- Compliance obligation with Environmental and Social Action Plan (EBRD) and applicable laws of RM;
- Roles, responsibility and authority to implement the Projects (Employer, Supervision Consultant, Contractor, etc.);
- Risk assessment process specific for the Project's life cycle (feasibility, procurement, design, construction, operation, etc.) with records;
- Monitoring and measurements process with records;
- Audit process with records;
- Non-conformity process and records;
- Communication process and records;
- Control of documents and records (ESMS, ESMP/LMP, SEP, RAP, CoC, etc.);
- Control of externalized process and records;
- The reporting process and records;
- The complaint mechanism and records;
- Management review taking into consideration the achieve the Project's objectives and client's satisfactions, etc.

### 9.3 Management review

Top Employer shall review the Project's ES Management System, at planned intervals, to ensure its continuing suitability, adequacy and effectiveness.

The management review shall include consideration of:

- a) the status of actions from previous management reviews;
- b) changes in external and internal issues that are relevant to the ESMS, including: (i) the needs and expectations of interested parties, (ii) legal requirements and other requirements and (iii) risks and opportunities;
- c) the extent to which the ES Management Policy and the Objectives have been met;
- d) information on the ES performance, including trends in: (i) incidents, nonconformities, corrective actions and continual improvement; (ii) monitoring and measurement results; (iii) results of evaluation of compliance with legal requirements and other requirements; (iv) audit results; (v) consultation and participation of workers and (vi) risks and opportunities;
- e) adequacy of resources for maintaining an effective ES Management System;
- f) relevant communication(s) with interested parties;
- g) opportunities for continual improvement.

The outputs of the management review shall include decisions related to:

- the continuing suitability adequacy and effectiveness of the Project's ESMS in achieving its intended outcomes;
- continual improvement opportunities;

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- any need for changes to the ESMS;
- resources needed;
- actions;
- opportunities to improve ESMS with other business processes of the Employer;
- any implications for the strategic direction of the Employer.

The Employer reports annually ES performance to the EBRD and GoM.



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## 10 IMPROVEMENT

### 10.1 General

The Employer has determined opportunities for improvement and implement actions to achieve the intended outcomes of the Project's ESMS.

### 10.2 Incident, nonconformity and corrective action

The Employer implements and maintains actions to control ES risks, including reporting, investigating and taking action, to determine and manage incidents and nonconformities.

When an incident or a nonconformity occurs, the following actions shall be performed:

- a) react in a timely manner to the incident or nonconformity and, as applicable: (i) take action to control and correct it and (ii) deal with the consequences;
- b) evaluate, with the participation of workers and the involvement of other relevant interested parties (affected communities), the need for corrective action to eliminate the root cause(s) of the incident or nonconformity, in order that it does not recur or occur elsewhere, by: (i) investigating the incident or reviewing the nonconformity, (ii) determining the cause(s) of the incident or nonconformity and (iii) determining if similar incidents have occurred, if nonconformities exist, or if they could potentially occur;
- c) review existing ES risks and other risks, as appropriate;
- d) determine and implement any action needed, including corrective action, in accordance with the hierarchy of risk controls;
- e) assess ES risks that relate to new or changed hazards, prior to taking action;
- f) review the effectiveness of any action taken, including corrective action;
- g) make changes to the ES Management System, if necessary.

Corrective actions shall be appropriate to the effects or potential effects of the incidents or nonconformities encountered.

The Employer shall retain documented information as evidence of:

- the nature of the incidents or nonconformities and any subsequent actions taken;
- the results of any action and corrective action, including their effectiveness.

The Employer communicates this documented information to relevant workers, and, where they exist, workers' representatives, and affected communities and other relevant interested parties.

The Non-conformity Report is presented in the Annex no. 8.

The Incident Notification Report is presented in the Annex 9.

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### 10.3 Continual improvement

The Employer will continually improve the suitability, adequacy and effectiveness of the ESMS, by:

- a) enhancing the Project's ES performance;
- b) promoting a culture that supports an ES Management System;
- c) promoting the consultation and participation of workforce and affected communities in implementing actions for the continual improvement of the ESMS;
- d) communicating the relevant results of continual improvement to workforce, affected communities and other stakeholders;
- e) maintaining and retaining documented information as evidence of continual improvement.

The Annual Environmental and Social Report is provided in the Annex no.11.

## 11 ANNEXES

Annex no. 1: The revised Stakeholder Engagement Plan

Annex no. 2: The Project's Consultation and Participation Plan

Annex no. 3: The Project's Training and Economic Inclusion Plan

Annex no. 4: The Project's specific ESMP for rehabilitation process of the M1

Annex no. 5: The Project's specific Labour Management Plan for rehabilitation process of the M1

Annex no. 6: The Project's specific Code of Conduct

Annex no. 7: The Project's specific Complaint Mechanism for rehabilitation process of the M1

Annex no. 8: The Non-conformity and Corrective Action Report

Annex no. 9: The Incident Notification Report

Annex no. 10: Annual ES Report

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## **Annex no. 1: The revised Stakeholder Engagement Plan**

|                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                     |
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## **Annex no. 2: The Project's Consultation and Participation Plan**

|                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                          |
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### **Annex no. 3: The Project's Training and Economic Inclusion Plan**

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**Annex no. 4: The Project's specific ESMP for rehabilitation process of the M1**

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**Annex no. 5: The Project's specific Labour Management Plan for rehabilitation process of the M1**



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## **Annex no. 6: The Project's specific Code of Conduct**

|                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                     |
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**Annex no. 7: The Project's specific Complaint Mechanism for rehabilitation process of the M1**

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## Annex 8: The Nonconformity and Corrective Actions Report form

|                                                                                                                                                                                                                                     |                                                        |                                       |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|---------------------------------------|--|
| <b>Part A: Nonconformity Report</b>                                                                                                                                                                                                 |                                                        |                                       |  |
| <b>Non-conformance (To be completed by NCR Preparer or Auditor):</b>                                                                                                                                                                |                                                        |                                       |  |
| <b>Title of NCR:</b>                                                                                                                                                                                                                |                                                        |                                       |  |
| <b>NCR Number:</b>                                                                                                                                                                                                                  |                                                        | <b>Date Issued:</b>                   |  |
| <b>NCR Prepared By:</b>                                                                                                                                                                                                             |                                                        | <b>NCR Issued To:</b>                 |  |
| <b>Job Title</b>                                                                                                                                                                                                                    |                                                        | <b>Job Title</b>                      |  |
| <b>CS Engineer</b>                                                                                                                                                                                                                  |                                                        | <b>Contractor</b>                     |  |
| <b>EHSS Expert</b>                                                                                                                                                                                                                  |                                                        | <b>Subcontractor</b>                  |  |
| <b>Details of the Non-conformance (To be completed by NCR Preparer or Auditor)</b>                                                                                                                                                  |                                                        |                                       |  |
| <b>Major</b> <input type="checkbox"/>                                                                                                                                                                                               |                                                        | <b>Minor</b> <input type="checkbox"/> |  |
| <b>Description</b>                                                                                                                                                                                                                  |                                                        |                                       |  |
| Insert a clear and concise description of the Non-conformance identified and any additional supporting documents (such as reports, drawings, photographs, etc.) evidencing the situation/problem identified, and attach to the NCR. |                                                        |                                       |  |
|                                                                                                                                                                                                                                     |                                                        |                                       |  |
| <b>Requirement relating to the Non-conformance</b>                                                                                                                                                                                  |                                                        |                                       |  |
| Insert details of the relevant governance document(s) such as contract, procedure, specification or standard, as applicable (including any Document Reference Numbers)                                                              |                                                        |                                       |  |
|                                                                                                                                                                                                                                     |                                                        |                                       |  |
| <b>Part B: Classification of aspects and dangers</b>                                                                                                                                                                                |                                                        |                                       |  |
| <b>For reporting/benchmarking purposes, Non-conformances are classified into at least one of six categories. Tick the most appropriate options below</b>                                                                            |                                                        |                                       |  |
|                                                                                                                                                                                                                                     | To be completed by NCR Preparer or Auditor             |                                       |  |
| <b>1</b>                                                                                                                                                                                                                            | <b>Materials</b>                                       |                                       |  |
| <b>2</b>                                                                                                                                                                                                                            | <b>Machine &amp; equipment</b>                         |                                       |  |
| <b>3</b>                                                                                                                                                                                                                            | <b>Measurement (monitor and control by management)</b> |                                       |  |
| <b>4</b>                                                                                                                                                                                                                            | <b>Manpower (training included)</b>                    |                                       |  |
| <b>5.</b>                                                                                                                                                                                                                           | <b>Methods (workmanship included)</b>                  |                                       |  |
| <b>6</b>                                                                                                                                                                                                                            | <b>Health and safety</b>                               |                                       |  |
| <b>7.</b>                                                                                                                                                                                                                           | <b>Environment and Social</b>                          |                                       |  |
| <b>Details of the Root Cause Documentation</b>                                                                                                                                                                                      |                                                        |                                       |  |
| Refer any internal meetings, investigations, audits that took place to identify and confirm root cause and attach any relevant records (Minutes, reports etc.)                                                                      |                                                        |                                       |  |
| <b>Time table:</b>                                                                                                                                                                                                                  |                                                        |                                       |  |
| <b>Proposed by:</b>                                                                                                                                                                                                                 |                                                        |                                       |  |
| Name:                                                                                                                                                                                                                               |                                                        | Date:                                 |  |
| <b>Reviewed by:</b>                                                                                                                                                                                                                 |                                                        |                                       |  |
| Name:                                                                                                                                                                                                                               |                                                        |                                       |  |
| Signature:                                                                                                                                                                                                                          |                                                        |                                       |  |

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| Date:                                                                                                                                  |                                                                           |                                                                                                               |  |
| <b>Part C: Remedial (Correction) and Corrective Action(s) to address Non-conformance</b>                                               |                                                                           |                                                                                                               |  |
| <b>Correction Actions (To be completed by the Responsible Manager)</b>                                                                 |                                                                           |                                                                                                               |  |
| Insert a clear and concise description of the Correction (Remedial Action to be taken to rectify the Major Non-conformance identified) |                                                                           |                                                                                                               |  |
|                                                                                                                                        |                                                                           |                                                                                                               |  |
| <b>Proposed by</b>                                                                                                                     |                                                                           | <b>Job Title:</b>                                                                                             |  |
| <b>Name of Responsible Manager:</b>                                                                                                    |                                                                           |                                                                                                               |  |
| <b>Planned Completion Date:</b><br>(Specify an appropriate and realistic date)                                                         |                                                                           | <b>Signature:</b>                                                                                             |  |
| <b>Reviewed by</b><br>(Name of NCR Issuer or Issuer Line Manager)                                                                      | <b>Date:</b>                                                              | <b>Signature</b>                                                                                              |  |
| <b>Corrective Action(s) (To be completed by Responsible Manager):</b>                                                                  |                                                                           |                                                                                                               |  |
| Please insert details of the Corrective Action to be taken to prevent recurrence of the identified Non-conformance.                    |                                                                           |                                                                                                               |  |
|                                                                                                                                        |                                                                           |                                                                                                               |  |
| <b>Proposed by:</b>                                                                                                                    |                                                                           | <b>Job Title:</b>                                                                                             |  |
| <b>Name of Responsible Manager:</b>                                                                                                    |                                                                           |                                                                                                               |  |
| <b>Expected Timescale:</b><br>(Specified date; monthly; periodic; on-going; etc.)                                                      |                                                                           | <b>Signature:</b>                                                                                             |  |
| <b>Reviewed by</b><br>(Name of NCR Issuer or Issuer Line Manager)                                                                      | <b>Date:</b>                                                              | <b>Signature</b>                                                                                              |  |
| <b>Part D: Closure of Non-conformance</b>                                                                                              |                                                                           |                                                                                                               |  |
| <b>Verification of completion of the Corrective Action(s) (To be completed by NCR Preparer or Auditor)</b>                             |                                                                           |                                                                                                               |  |
| <b>Verified Complete</b><br>(Select as applicable)                                                                                     | <b>Yes</b> <input type="checkbox"/><br><b>No</b> <input type="checkbox"/> | <b>The Corrective Action(s) above have been satisfactorily completed by the relevant Responsible Manager.</b> |  |
| <b>Comments:</b>                                                                                                                       |                                                                           |                                                                                                               |  |
| <b>Verified by NCR Preparer or Auditor:</b>                                                                                            |                                                                           |                                                                                                               |  |
| <b>Name:</b>                                                                                                                           |                                                                           | <b>Date:</b>                                                                                                  |  |
| <b>Job Title:</b>                                                                                                                      |                                                                           | <b>Signature:</b>                                                                                             |  |
| <b>Reviewed/Approved by:</b><br>(Lead Auditor, Quality Manager or other Senior Manager as per applicable NCR Procedure)                |                                                                           |                                                                                                               |  |
| <b>Name:</b>                                                                                                                           |                                                                           | <b>Date:</b>                                                                                                  |  |
| <b>Job Title:</b>                                                                                                                      |                                                                           | <b>Signature:</b>                                                                                             |  |

|                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                     |
|------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
|  <p>Ministry of<br/>Infrastructure and<br/>Regional Development</p> | <p align="center"><b>THE MOLDOVA ROAD PROJECT V</b></p> <p align="center"><b>The Project's Environmental and Social Management System<br/>specific for reconstruction process of the express road M1<br/>located in the Hincesti and Nisporeni Districts</b></p> <p align="center"><b>Lot no.2 (km 9+208 – km 38+800)</b></p> <p align="center"><b>THE TECHNICAL DESIGN STAGE – REQUIREMENTS</b></p> |  |
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## Annex 9: The Incident Notification Form (template)

| INCIDENT REPORTING FORM                                                        |                 |                                                                                                                                                                                                                                                                                                                                                                            |             |
|--------------------------------------------------------------------------------|-----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| <b>General Information</b>                                                     |                 |                                                                                                                                                                                                                                                                                                                                                                            |             |
| Project Name, Activity                                                         |                 |                                                                                                                                                                                                                                                                                                                                                                            |             |
| Name of Project Implementing Agency                                            |                 |                                                                                                                                                                                                                                                                                                                                                                            |             |
| Name of Contractor and subcontractors                                          |                 |                                                                                                                                                                                                                                                                                                                                                                            |             |
| Name, position & company of main person(s) involved with/ causing the Incident |                 |                                                                                                                                                                                                                                                                                                                                                                            |             |
| <b>Details about Accident / Incident</b>                                       |                 |                                                                                                                                                                                                                                                                                                                                                                            |             |
| Date and time of incident                                                      |                 |                                                                                                                                                                                                                                                                                                                                                                            |             |
| Location of incident                                                           |                 |                                                                                                                                                                                                                                                                                                                                                                            |             |
| Type of incident                                                               |                 | <i>E.g. Fatality, Injury, major oil spill, social unrest, outbreak of violence, labor strikes etc.</i>                                                                                                                                                                                                                                                                     |             |
| Detailed description of incident (attach photos if needed)                     |                 | <i>Describe in detail what has happened in a chronological manner. Who was involved? Which activities were performed? Under which external circumstances did the incident occur? What was the reason for the Incident? Etc.</i>                                                                                                                                            |             |
| Describe victims and damage                                                    |                 | <i>Fatalities (including number deceased and differentiating between employee/ contractor fatalities and members of the public).<br/>Number injured (mention hospitalizations/ loss of limb).<br/>Number of injured in the community (if any).<br/>Loss/ damage to company facilities or operating environment.<br/>Environmental damage (e.g. water pollution, etc.).</i> |             |
| Describe immediate response                                                    |                 | <i>Which immediate activity was taken? E.g. construction activities interrupted, first aid given, injured person taken to hospital, police informed, task force implemented etc.</i>                                                                                                                                                                                       |             |
| Describe long-term response                                                    |                 | <i>Describe long-term activities to prevent this incident to happen again. Describe further investigations if any. Describe how lessons learned will be shared among employees.</i>                                                                                                                                                                                        |             |
| <b>Incident Report Approval</b>                                                |                 |                                                                                                                                                                                                                                                                                                                                                                            |             |
|                                                                                | <b>Position</b> | <b>Name</b>                                                                                                                                                                                                                                                                                                                                                                | <b>Date</b> |
| Prepared by                                                                    |                 |                                                                                                                                                                                                                                                                                                                                                                            |             |
| Approved by                                                                    |                 |                                                                                                                                                                                                                                                                                                                                                                            |             |

|                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                             |                                                                                     |
|------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
|  <p>Ministry of<br/>Infrastructure and<br/>Regional Development</p> | <p align="center"><b>THE MOLDOVA ROAD PROJECT V</b></p> <p align="center"><b>The Project's Environmental and Social Management System<br/>specific for reconstruction process of the express road M1<br/>located in the Hincesti and Nisporeni Districts<br/>Lot no.2 (km 9+208 – km 38+800)</b></p> <p align="center"><b>THE TECHNICAL DESIGN STAGE – REQUIREMENTS</b></p> |  |
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## Annex 10: Annual Environmental and Social Report (AESR) template

OFFICIAL USE



# Annual Environmental & Social Report

YEAR \_\_\_\_\_

OFFICIAL USE

|                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                     |
|------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
|  <p>Ministry of<br/>Infrastructure and<br/>Regional Development</p> | <p align="center"><b>THE MOLDOVA ROAD PROJECT V</b></p> <p align="center"><b>The Project's Environmental and Social Management System<br/>specific for reconstruction process of the express road M1<br/>located in the Hincesti and Nisporeni Districts</b></p> <p align="center"><b>Lot no.2 (km 9+208 – km 38+800)</b></p> <p align="center"><b>THE TECHNICAL DESIGN STAGE – REQUIREMENTS</b></p> |  |
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|                                                                                                                                                                                                        |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <b>1. Company Details</b>                                                                                                                                                                              |  |
| <b>Client Name:</b>                                                                                                                                                                                    |  |
| <b>Client Address:</b>                                                                                                                                                                                 |  |
| <b>Country:</b>                                                                                                                                                                                        |  |
| <b>Client authorized representative:</b>                                                                                                                                                               |  |
| <b>Name:</b>                                                                                                                                                                                           |  |
| <b>Title:</b>                                                                                                                                                                                          |  |
| I certify that, to the best of my knowledge and belief, the information contained in this report is true, complete and correct in all material respects and does not omit any material fact necessary. |  |
| Signature:                                                                                                                                                                                             |  |
| Date:                                                                                                                                                                                                  |  |
| <b>Contact Details:</b>                                                                                                                                                                                |  |
| <b>Reporting Period: Year _____</b>                                                                                                                                                                    |  |
| Unless otherwise stated, the information provided below shall be for this reporting period only.                                                                                                       |  |

|                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                             |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|
| <br><b>Ministry of<br/>Infrastructure and<br/>Regional Development</b> | <b>THE MOLDOVA ROAD PROJECT V</b><br><b>The Project's Environmental and Social Management System</b><br><b>specific for reconstruction process of the express road M1</b><br><b>located in the Hincesti and Nisporeni Districts</b><br><b>Lot no.2 (km 9+208 – km 38+800)</b><br><b>THE TECHNICAL DESIGN STAGE – REQUIREMENTS</b> | <br><b>S.A. ADMINISTRATIA<br/>NATIONALA A DRUMURILOR</b> |
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## PART A. CORE QUESTIONS

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                               |                                               |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|-----------------------------------------------|
| 2 Project Update                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                               |                                               |
| <b>Project Name</b><br>(As given in the Project Legal Documentation):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                               |                                               |
| <b>Project OP ID</b><br>(As given in the Project Legal Documentation):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                               |                                               |
| Please provide a summary update on the project implementation. If the project includes production or manufacturing please also include:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                               |                                               |
| 3 Environmental and Social Action Plan (ESAP) Status Update                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                               |                                               |
| <p>Using the guidance provided below, please provide an ESAP status update as an attachment to the Annual Environmental &amp; Social Report. Please use the template provided under Annex 1 for the update. The ESAP for the Project is included in the Legal Documentation.</p> <p>For each ESAP action item, please complete the two steps below and note under the ESAP Status column:</p> <p><b>1. Interpret your implementation status using the below guidance:</b></p> <p><b>Exceeding Target (ET):</b> The project has gone beyond the requirements of the defined ESAP target and evaluation criteria within the defined timeframe.</p> <p><b>Achieved Target (AT):</b> The project has achieved the ESAP action targets and fulfilled the evaluation criteria within the defined timeframe.</p> <p><b>On Target (OT):</b> The project is on target for achieving ESAP action targets and fulfilling the evaluation criteria within the defined timeframe.</p> <p><b>Minor Delay (MD):</b> The project has not achieved the ESAP action targets within the defined timetable but has put systems, processes or mitigation measure in place, which are working towards addressing the deficiencies within a reasonable timeframe. In such case, please specify the new target date.</p> <p><b>Significant Delay (SD):</b> No significant progress has been made towards achieving the ESAP action targets within the defined timeframe. In such case, please specify the new target date.</p> <p><b>Not Applicable yet (NA):</b> The defined ESAP action is not applicable yet, e.g., if the project is currently at design stage and the defined ESAP action will be applicable only during the operational stage.</p> <p><b>2. Comment on implementation status: Provide a brief commentary on the chosen status. For actions with delays or changes, explain the reasons for the delay or changes, actions planned to meet the requirements and estimated completion date for the action. Please note that any material changes to the action plan(s) or deadlines agreed with the Bank must be satisfactory to the Bank.</b></p> |                                                               |                                               |
| 4 General                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                               |                                               |
| 4.1. Have any new environmental, social or gender <sup>21</sup> issues been identified which were not foreseen by or contemplated in the Environmental and Social Action Plan?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Yes: <input type="checkbox"/><br>No: <input type="checkbox"/> | If Yes, please provide details of the issues: |

<sup>21</sup> Within the Bank's Environmental and Social Policy, "social" refers to those issues which pertain to project-affected people and their communities and workers and related to socioeconomic status, vulnerability, gender identity, human rights, sexual orientation, cultural heritage, labour and working conditions, health and safety and participation in decision making.



|                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                             |
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| <br><b>Ministry of<br/>Infrastructure and<br/>Regional Development</b> | <b>THE MOLDOVA ROAD PROJECT V</b><br><b>The Project's Environmental and Social Management System</b><br><b>specific for reconstruction process of the express road M1</b><br><b>located in the Hincesti and Nisporeni Districts</b><br><b>Lot no.2 (km 9+208 – km 38+800)</b><br><b>THE TECHNICAL DESIGN STAGE – REQUIREMENTS</b> | <br><b>S.A. ADMINISTRATIA<br/>NATIONALA A DRUMURILOR</b> |
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|                                                                                                                                                                                                                      |                                                               |                                                                                                                                                                                                                        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 4.2. Have there been any accidents or incidents that have caused damage to the environment, affected cultural property, or created liabilities for the Client?                                                       | Yes: <input type="checkbox"/><br>No: <input type="checkbox"/> | If yes, please describe incident/accidents, damage caused by the incident/accident, including details of actions to repair and prevent reoccurrence:                                                                   |
| 4.3. Have there been any suspensions, closures, penalties, fines and/or corrective action plans imposed by environmental, health and safety, labour authorities on the Company or the Contractors?                   | Yes: <input type="checkbox"/><br>No: <input type="checkbox"/> | If yes, please describe identified violations, including resulting penalties, status of implementing corrective actions to address any violations found: a) environmental, b) health and safety, c) labour             |
| 4.4 Have there been any exceedances of the emission and discharge standards that apply to the project (either by regulation or as defined under the ESAP / ESMP/ EU Directives)?                                     | Yes: <input type="checkbox"/><br>No: <input type="checkbox"/> | If yes, please describe, including details of corrective actions:                                                                                                                                                      |
| 4.5 Have there been any court cases filed or determined against the Client in the reporting period that are related to labour, health and safety, environment, land acquisition, damage to third party assets, etc.? | Yes: <input type="checkbox"/><br>No: <input type="checkbox"/> | If yes, how many?<br>a) Labour<br>b) Health and safety<br>c) Environment<br>d) Land acquisition/resettlement<br>e) Damage to third party assets<br>f) Others<br>Please also provide brief information about the cases. |

## 5 Human Resources Management

Unless otherwise noted, the questions in this section apply to both direct employees and non-employee workers (e.g. contractors and other 3<sup>rd</sup> party employees working on the project site or performing work directly related to the core functions of the project).

|                                                                                                                                                                                                                           | Total                                                         | Recruited in the reporting period                                                                                                                                                                                              | Dismissed in the reporting period                |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|
| <b>Number of direct employees:</b>                                                                                                                                                                                        | <b>Total:</b><br>Men: Women:                                  | Men:<br>Women:                                                                                                                                                                                                                 | Men:<br>Women:                                   |
| <b>Number of contracted workers:</b>                                                                                                                                                                                      | <b>Total:</b><br>Men /Women:                                  | Men: /Women:                                                                                                                                                                                                                   | Men:<br>/Women:                                  |
| <b>Number of seasonal/temporary workers:</b>                                                                                                                                                                              | Direct Employees:<br>Men: /Women:                             | Contracted Workers:<br>Men: /Women:                                                                                                                                                                                            | Men:<br>Women:                                   |
| <b>Number of employees:</b>                                                                                                                                                                                               | <b>International (%) –</b><br>Men: / Women:                   | <b>National (%)</b><br>Men: /Women:                                                                                                                                                                                            | <b>Local (%)<sup>22</sup></b><br>Men:<br>/Women: |
| 5.1 Were there any collective dismissals as defined in article 1 of EU Directive 98/59 <sup>23</sup> and/or as defined in the national labour code affecting the directly employed workforce during the reporting period? | Yes: <input type="checkbox"/><br>No: <input type="checkbox"/> | If yes, please describe the redundancy plan, including reasons for redundancies, number, sex and age of workers involved, how they were selected, consultation undertaken, and measures to mitigate the effects of redundancy: |                                                  |
| 5.2 Are any collective dismissals planned for the directly employed workforce in the next year?                                                                                                                           | Yes: <input type="checkbox"/><br>No: <input type="checkbox"/> | If yes, please describe the redundancy plan, including reasons for redundancies, number, sex and age of workers involved, and selection and consultation process:                                                              |                                                  |

<sup>22</sup> From directly affected/neighbouring communities

<sup>23</sup> <http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:31998L0059&from=EN>

|                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                   |                                                                                     |
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|  <p>Ministry of<br/>Infrastructure and<br/>Regional Development</p> | <b>THE MOLDOVA ROAD PROJECT V</b><br><b>The Project's Environmental and Social Management System</b><br><b>specific for reconstruction process of the express road M1</b><br><b>located in the Hincesti and Nisporeni Districts</b><br><b>Lot no.2 (km 9+208 – km 38+800)</b><br><b>THE TECHNICAL DESIGN STAGE – REQUIREMENTS</b> |  |
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|                                                                                                                                                                                                              |                                                                       |                                                                                                                                                                                                                                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>5.3 Were there any changes to:</p> <ul style="list-style-type: none"> <li>• worker representation at Client facilities?</li> <li>• status of collective agreements?</li> </ul>                            | <p>Yes: <input type="checkbox"/><br/>No: <input type="checkbox"/></p> | <p>If yes, please provide details, and summarise engagement with workers, worker representatives, and relevant state authorities during the reporting period:</p>                                                                                                              |
| <p>5.4 Have workers raised any grievances concerning the project, Client, or contractors including working conditions or any type of discrimination, harassment or bullying during the reporting period?</p> | <p>Yes: <input type="checkbox"/><br/>No: <input type="checkbox"/></p> | <p>If yes, please state how many complaints were made and through which channels the issues were raised (e.g. grievance mechanism, trade unions, courts, media, etc.); report number of grievances by male and female staff and explain how the Client has addressed them:</p> |
| <p>5.5 Have there been any strikes or other collective disputes related to working conditions at the Client in the reporting period?</p>                                                                     | <p>Yes: <input type="checkbox"/><br/>No: <input type="checkbox"/></p> | <p>If yes, please summarise nature of, and reasons for, disputes and explain how they were resolved</p>                                                                                                                                                                        |

## 6 Health and Safety (H&S) Data

|     |                                                                                                                                                                                                                                                                                                            |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 6.1 | Please provide information on any accidents / incidents that have happened to employees, contractors or other third parties during the reporting period? Please confirm circumstances, severity of the accident (fatal, serious, minor etc.), status of investigation and remedial action taken.           |
| 6.2 | Please provide information on the H&S training provided to employees or contractors during the reporting period? Please provide the training topics and the numbers of workers that have been trained.                                                                                                     |
| 6.3 | Please provide information on the number of H&S inspections / audits which have been carried out during the reporting period? Please confirm if these were carried out by the Client or by an independent OSH expert and if any actions are outstanding.                                                   |
| 6.4 | Please provide information on any major developments and achievements related to H&S management during the reporting period? Please include new safety measures which have been introduced, safety awards which the project has received or safety programmes / initiatives that have been introduced.     |
| 6.5 | Please provide information on the road traffic collisions that have happened to employees or contractors during the reporting period including the number of accidents, fatalities, major injuries, minor injuries, damage only and the investigative process undertaken. Summarise remedial action taken. |

## 7 Stakeholder Engagement

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                       |                                                                                                                           |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|
| <p>7.1 Please provide information on the implementation of the stakeholder engagement plan agreed with EBRD and summarise interaction with stakeholders during the reporting period, including:</p> <ul style="list-style-type: none"> <li>• meeting or other initiatives to engage with members of the public or public organisations, civil society, communities, including vulnerable groups during the report period. Please describe how you have made such meetings and interactions accessible to both men and women of all ages, all social groups, and those who speak minority languages.</li> <li>• Summarise any coverage in media on environmental, health and safety and social issues related to the project, and,</li> <li>• interaction with any environmental or other community groups.</li> </ul> <p>Please describe any changes to the Stakeholder Engagement Plan:</p> |                                                                       |                                                                                                                           |
| <p>7.2 How many complaints or grievances did the project receive from members of the public or civil society organisations during the reporting period? Please split by stakeholder group and by issues and provide status update on % of resolved and unresolved complaints. Summarise main issues raised in the complaints or grievances and explain how they were resolved:</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                       |                                                                                                                           |
| <p>7.3 What information on environmental and social issues was put in the public domain during the reporting period? Please attach a copy or the link.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                       |                                                                                                                           |
| <p>Is the project Categorised A by the EBRD?</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <p>Yes: <input type="checkbox"/><br/>No: <input type="checkbox"/></p> | <p>If yes, please provide a link to the annual report released to the public for EBRD to include on the EBRD website.</p> |

|                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                   |                                                                                     |
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|  <p>Ministry of<br/>Infrastructure and<br/>Regional Development</p> | <b>THE MOLDOVA ROAD PROJECT V</b><br><b>The Project's Environmental and Social Management System</b><br><b>specific for reconstruction process of the express road M1</b><br><b>located in the Hincesti and Nisporeni Districts</b><br><b>Lot no.2 (km 9+208 – km 38+800)</b><br><b>THE TECHNICAL DESIGN STAGE – REQUIREMENTS</b> |  |
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## PART B. QUESTIONS FOR PROJECTS WITH SPECIFIC CONDITIONS

|                                   |  |  |
|-----------------------------------|--|--|
| <b>8 Water Use and Efficiency</b> |  |  |
|-----------------------------------|--|--|

|                                                                                                                         |                                                               |                                                  |
|-------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------------|
| Does the project involve production or manufacturing with a high-water demand (greater than 5,000 m <sup>3</sup> /day)? | Yes: <input type="checkbox"/><br>No: <input type="checkbox"/> | If yes, please provide answers to the following: |
| Total volume of water drawn from water source(s) in m <sup>3</sup> during the reporting period                          |                                                               |                                                  |
| Total volume of potable water drawn from water source(s) in m <sup>3</sup> during the reporting period (if available)   |                                                               |                                                  |
| Total units of production/manufacturing (please define the units in the response)                                       |                                                               |                                                  |
| Water source (well, water network, etc.)                                                                                |                                                               |                                                  |

|                                         |  |  |
|-----------------------------------------|--|--|
| <b>9 Greenhouse Gas (GHG) Emissions</b> |  |  |
|-----------------------------------------|--|--|

|                                                                                                                    |                                                               |                                                                                                                                                                                                                     |
|--------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Are the direct and indirect GHG emissions of the project more than 25,000 tonnes/year CO <sub>2</sub> -equivalent? | Yes: <input type="checkbox"/><br>No: <input type="checkbox"/> | If yes, please quantify these emissions in accordance with EBRD Methodology for Assessment of Greenhouse Gas Emissions <sup>24</sup> . Please provide the data and assumptions on which the calculations are based: |
|--------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                            |  |  |
|----------------------------|--|--|
| <b>10 Land Acquisition</b> |  |  |
|----------------------------|--|--|

|                                                                                                                                                                                                              |                                                               |                                                                                                                                                                                                                                                                                                                                                                                            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Was there any land acquisition associated with the project during the reporting period?</b><br>Yes: <input type="checkbox"/> No: <input type="checkbox"/> If yes, please provide answer to the following: |                                                               |                                                                                                                                                                                                                                                                                                                                                                                            |
| Number of communities affected:                                                                                                                                                                              |                                                               |                                                                                                                                                                                                                                                                                                                                                                                            |
| Number of people affected:                                                                                                                                                                                   | Land owners:                                                  | Land users:                                                                                                                                                                                                                                                                                                                                                                                |
| Have any persons been physically displaced?<br>If yes how many?                                                                                                                                              |                                                               |                                                                                                                                                                                                                                                                                                                                                                                            |
| Permanent land use (number of parcels):                                                                                                                                                                      |                                                               |                                                                                                                                                                                                                                                                                                                                                                                            |
| Temporary land use:                                                                                                                                                                                          | number of parcels:                                            | area affected:                                                                                                                                                                                                                                                                                                                                                                             |
| Overall Status of land acquisition:                                                                                                                                                                          |                                                               |                                                                                                                                                                                                                                                                                                                                                                                            |
|                                                                                                                                                                                                              | amicable agreements:                                          | court process:                                                                                                                                                                                                                                                                                                                                                                             |
| Is there a Resettlement Framework/ Resettlement Action and/or Livelihood Restoration Plan (RAP/LRP) developed for the Project?                                                                               | Yes: <input type="checkbox"/><br>No: <input type="checkbox"/> | If yes, <b>please attach an implementation report</b> including any further progress made during the reporting period in the implementation of the plan, using the monitoring indicators as detailed in the plan. Please provide the results of any other related monitoring carried out by the Client or its consultants and attach any additional information you think would be useful. |

<sup>24</sup> <https://www.ebrd.com/cs/Satellite?c=Content&cid=1395258435436&d=&pagename=EBRD%2FContent%2FDownloadDocument>

|                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                     |
|------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
|  <p>Ministry of<br/>Infrastructure and<br/>Regional Development</p> | <p align="center"><b>THE MOLDOVA ROAD PROJECT V</b></p> <p align="center"><b>The Project's Environmental and Social Management System<br/>specific for reconstruction process of the express road M1<br/>located in the Hincesti and Nisporeni Districts</b></p> <p align="center"><b>Lot no.2 (km 9+208 – km 38+800)</b></p> <p align="center"><b>THE TECHNICAL DESIGN STAGE – REQUIREMENTS</b></p> |  |
|------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|

## 11 Gender

|                                                                       |                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                   |
|-----------------------------------------------------------------------|-----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Is there a Gender Action Plan (GAP) developed for the Project?</p> | <p>Yes: <input type="checkbox"/><br/>No: <input type="checkbox"/></p> | <p>If yes, <b>please attach an implementation report</b> including any further progress made during the reporting period in the implementation of the plan, using the monitoring indicators as detailed in the plan. Please provide the results of any other related monitoring carried out by the Client or its consultants and attach any additional information you think would be useful.</p> |
|-----------------------------------------------------------------------|-----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

## 12 Community Development

Please summarise the social or community development initiatives undertaken by the Client during the reporting period, and associated expenditure: Comment on whether the community has input into the selection of initiatives to be supported.